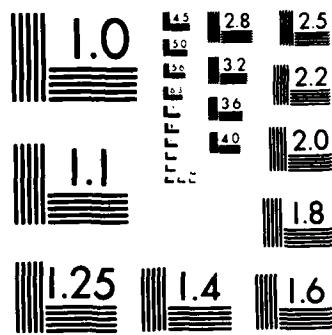


AD-R186 792 ADVANCED STUDIES OF INTEGRABLE SYSTEMS(U) CLARKSON UNIV 1/1
POOSDAM NY DIV OF RESEARCH D J KAUB 18 DEC 86
AFOSR-TR-87-1412 AFOSR-82-0154

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2a SECURITY C

2b DECLASSIFICATION / DOWNGRADING SCHEDULE

4 PERFORMING ORGANIZATION REPORT NUMBER(S)

6a NAME OF PERFORMING ORGANIZATION

Clarkson University

6c ADDRESS (City, State, and ZIP Code)

Division of Research
Potsdam, NY 13676

8a NAME OF FUNDING/Sponsoring Organization

AFOSR

6b. OFFICE SYMBOL
(If applicable)

NM

1b. RESTRICTIVE MARKINGS

3. DISTRIBUTION / AVAILABILITY OF REPORT
Approved for public release;
distribution unlimited.

5. MONITORING ORGANIZATION REPORT NUMBER(S)

AFOSR-TK-37-1412

7a. NAME OF MONITORING ORGANIZATION

AFOSR/NM

7b ADDRESS (City, State, and ZIP Code)

AFOSR/NM
Hldg 410
Bellagio, NV 89106

9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER

AFOSR-82-0154

10. SOURCE OF FUNDING NUMBERS

PROGRAM ELEMENT NO	PROJECT NO.	TASK NO.	WORK UNIT ACCESSION NO.
61102F	2304	A4	

11 TITLE (Include Security Classification)

Advanced Studies of Integrable Systems

12 PERSONAL AUTHOR(S)

David J. Kaub

13a TYPE OF REPORT

Final

13b. TIME COVERED

FROM 6/1/82 TO 9/30/86

14. DATE OF REPORT (Year, Month, Day)

12/18/86

15 PAGE COUNT

16. SUPPLEMENTARY NOTATION

17 COSATI CODES

FIELD	GROUP	SUB-GROUP

18 SUBJECT TERMS (Continue on reverse if necessary and identify by block number)

19 ABSTRACT (Continue on reverse if necessary and identify by block number)

A total of 14 publications resulted from this work and 6 others have been accepted for publication. Short summaries for each publication are as follows:

Soliton Dynamics in the Presence of External Forces: a recent conjecture that solitons are not "newtonian particles" is discussed. It is shown that whether or not newtonian motion is observed will depend critically on the definition of the soliton's center.

Nonlinear Scattering of Whistlers by Electrostatic Fluctuations: sharply localized is demonstrated that such distributions could possibly be explained by a modulational instability arising from interactions with ion-cyclotron waves.

The Forced Toda Lattice: An example of an Almost Integrable System: forced integrable systems are discussed using the forced Toda lattice as an example. It is demonstrated how these systems are almost integrable.

20 DISTRIBUTION / AVAILABILITY OF ABSTRACT

UNCLASSIFIED/UNLIMITED SAME AS RPT DTIC USERS

21. ABSTRACT SECURITY CLASSIFICATION

22a NAME OF RESPONSIBLE INDIVIDUAL

Dr. Arje Nachman

22b. TELEPHONE (Include Area Code)

(202) 767-5027

22c OFFICE SYMBOL

NM

FINAL REPORT

on AFOSR Grant #82-0154

for the three year period ending 30 Sept. 1986

The following is a final report on the work achieved during the above period.

Acquisition For	
NTIS GPO&I	
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Unpublished	
Justification _____	
By _____	
Distribution/ _____	
Availability Codes _____	
Dist	Avail and/or Special
A-1	

1. Soliton Dynamics in the Presence of External Forces
 [Phys. Rev. B 29, 1072-4 (1984)]

In this paper, a recent conjecture that solitons are not "newtonian particles" is discussed. It is shown that whether or not newtonian motion is observed will depend critically on the definition of the soliton's center.

2. Nonlinear Scattering of Whistlers by Electrostatic Fluctuations
 [Phys. Rev. A 29, 396-8 (1984)] (coauthored by P.K. Shukla, M.Y. Yu and S.N. Antani)

Sharply localized distributions of whistler waves have been observed in the ionosphere and the solar wind. In this paper it is demonstrated that such distributions could possibly be explained by a modulational instability arising from interactions with ion-cyclotron waves.

3. The Forced Toda Lattice: An Example of an Almost Integrable System
 [J.Math.Phys. 25, 277-81 (1984)]

In this paper, I discuss forced integrable systems using the forced Toda lattice as an example. I also demonstrate how these systems are 'almost integrable.'

4. The Soliton Birth Rate in the Forced Toda Lattice
 [J.Math.Phys. 25, 282-4 (1984)] (co-authored with D.H. Neuberger)

The numerical analysis of the forced Toda lattice allowed us to demonstrate that one could quite easily predict the soliton birth rate in this system.

5. Whistler Scattering from Density Fluctuations in Magnetized Plasmas
 [Phys. Fluids 27, 1169-75 (1984)] (coauthored with S.N. Antani)

The nonlinear interactions of whistler waves with density fluctuations in magnetized plasmas is studied. It is found that this will be a major interaction in proposed commercial Tokamaks, and that instead of nonlinearities dominating, the diffusion effects would dominate with a subsequent loss in the coherence of any such whistler beam.

6. The Squared Eigenfunctions of the Sine-Gordon Eigenvalue Problem
[J. Math. Phys. 25, 2467-71 (1984)]

In this paper, the problem of closure for perturbations of the sine-Gordon equation in laboratory coordinates is solved. Most remarkable, it is shown that the eigenvalue problem for the squared eigenfunctions can be cast into the form of a standard eigenvalue problem. In this form, it is rather easy to prove closure.

7. The Toda Lattice as a Forced Integrable System
[J. Phys. Soc. Japan 54, 4126-32 (1985), coauthored by P.J. Hansen]

It is demonstrated that the analytical properties of the Jost functions for the inverse scattering transform of the Toda lattice may be used to determine the time evolution of the scattering data. In this paper we demonstrate this for small but finite values of the time.

8. Forced Integrable Systems, D.J. Kaup, article in Wave Phenomena: Modern Theory and Applications, pp. 163-174, C. Rogers and T.B. Moodie (eds.), Elsevier Science Publishers B.V. (North Holland), 1984.

9. Motion of Damped Sine-Gordon Kinks in the Presence of Thermal Fluctuations
[Phys. Rev. B 33, 1762-73 (1986), coauthored with El-sayed Osman]

10. Approximations for the Inverse Scattering Transform
[in Dynamical Problems in Soliton Systems, pp 12-22. ed. S. Takeno, Springer-Verlag, NY (1985)].

11. Forced Integrable Systems - An Overview, D. J. Kaup, Lectures in Applied Mathematics 23, 195-215 (1986), eds. Basil Nicolaenko, Darryl D. Holm, and James M. Hyman.

12. Creation of a Soliton out of Dissipation, D. J. Kaup, Physica 19D, 125-134 (1986).

In this paper, I demonstrate that inverse scattering techniques can be useful even when one has heavy damping and the system is quite nonintegrable. In fact, I was able to show that the heavy damping does create solitons and could also somewhat predict the trajectories of their eigenvalues

13. The Forced Nonlinear Schrödinger Equation, D.J. Kaup and P.J. Hansen, *Physica* 18D, 77-84 (1986).

The numerical analysis of the forced nonlinear Schrödinger equation is presented. It is demonstrated that a rather simple model allows us to quite easily predict the soliton birth rate in this system.

14. A New Interpretation of the Time Evolution of the Electric Field in a Crossed-field Device, Gary E. Tomas, W.M. Bollen, D.J. Kaup, B. Goplen, and L. Ludeking, International Electron Devices Meeting Technical Digest, Washington DC, Dec. 1-4, 1985, pp. 180-3.

In this paper, the numerical simulation of crossed-field devices are described along with the formation of the convective cell. These calculations are frequently referred to by our subsequent theoretical calculations.

In addition to the above, there are also the following preprints in various stages of being accepted for publication.

1. Nonlinear Schrödinger Solitons in the Forced Toda Lattice, D.J. Kaup (accepted for publication in *Physica D*). [INS preprint #48R, Nov. 1985]

In this manuscript I demonstrate that the long time asymptotics of the forced Toda lattice may be described in terms of the dark solitons of the stable form of the nonlinear Schrödinger equation.

2. Comments on the Inverse Scattering Transform for the Forced Toda Lattice, D. J. Kaup and P. J. Hansen (accepted for publication in *Physica D*)

In this manuscript, we obtain a set of transformation kernels for the forced Toda lattice problem, and discuss how one could obtain the time-evolution of the scattering data by solving a particular Riemann-Hilbert problem. These results on the transformation kernels are expected to be essential in solving the singular integral equation which shall arise in this problem.

3. Linear Stability Analysis of the Vlasov-Poisson Equations in High Density Plasmas in the Presence of Crossed-Fields and Density Gradients, D.J. Kaup, P.J. Hansen, S. Roy Choudhury and Gary E. Thomas (accepted for publication in *Phys. Fluids*).

A singular perturbation method is used to solve this linear problem for a nonneutral electron plasma. With this solution of the linear problem, we are now able to go ahead and analyze the nonlinear problem for microwave devices.

4. The Full Second-Order Cold Fluid Theory of the Diocotron and Magnetron Instabilities, D. J. Kaup, S. Roy Choudhury, and Gary E. Thomas (submitted for publication in *Phys. Rev. A*).

In this manuscript, we show that the evolution of the cold fluid theory at the magnetron instability is also via a diffusion-like process which reshapes the zeroth-order profile on the slow-time scale. With this understanding of the evolution of the zeroth-order solution, we may now proceed on to the third-order, where we would expect to find the nonlinear Schrödinger equation.

5. Second-Order Stability Analysis of the Vlasov-Poisson Equations in High Density Plasmas in the Presence of Crossed-Fields and Density Gradients, D.

J. Kaup, S. Roy Choudhury and G. E. Thomas (submitted for publication in Phys. Fluids).

This analysis demonstrates that the Vlasov theory of high frequency microwave devices can be different from that of the cold fluid model. In particular, it is demonstrated that the Vlasov theory predicts an updraft of all particles which lie above the wave-particle resonance layer.

6. Linear Stability of Vlasov-Poisson Electron Plasma in Crossed-Fields: Perturbations Propagating Parallel to the Magnetic Field, H.J. Lee, D.J. Kaup, and Gary E. Thomas (submitted for publication in Phys. Fluids).

In this manuscript, we demonstrate that the perturbations parallel to the magnetic field in this Vlasov system are stable as are their counterparts in the cold fluid theory. The proof of stability relies on a remarkable feature of the plasma dispersion relation which apparently was not recognized before.

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